

# The Social Legitimacy of International Organizations: Interest Representation, Institutional Performance, and Confidence Extrapolation in the United Nations

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## *Abstract:*

Social legitimacy is central to the effectiveness of international organizations (IOs). Yet, so far, we have little systematic knowledge about what drives citizens to support or oppose IOs. In this article, we isolate and assess three alternative explanations of social legitimacy in global governance, privileging interest representation, institutional performance, and confidence extrapolation. We test these theories in a multi-level analysis of citizen confidence in the United Nations (UN) using World Values Survey and European Values Study data, supplemented by contextual measures. The results grant support to the arguments that institutional performance and confidence extrapolation shape popular confidence in the UN, while offering little support for the explanation of interest representation. These findings challenge the predominant understanding that more democratic procedures lead to greater social legitimacy for IOs. Instead, the UN case suggests that the social legitimacy of IOs is based primarily on the organizations' capacity to deliver, as well as on citizens' general confidence in political institutions, which IOs may have little to do with and can do little to change.

## Introduction

The past few decades have witnessed growing societal contestation of international organizations (IOs). While IOs historically have enjoyed latent popular support, developments since the early 1990s suggest that the time of passive acceptance are over.<sup>1</sup> Social movements have engaged in campaigns, demonstrations, and protests, criticizing IOs for their policies and decision-making modes.<sup>2</sup> Electorates in several European countries have rejected government-negotiated treaties when given the opportunity in popular referenda.<sup>3</sup> Dissatisfaction with elite-driven international cooperation has led to the establishment of alternative arenas for public debate, such as the World Social Forum.<sup>4</sup>

These developments have generated increasing scholarly interest in the legitimacy of IOs. Informed by normative political theories, students of International Relations (IR) have debated the existence of a democratic deficit in global governance, and offered alternative assessments of the legitimacy of IOs. Several scholars have explored the democratic qualities of IOs, and found IOs wanting in terms of participation, accountability, and transparency.<sup>5</sup> Others have emphasized the need to evaluate IOs based on their contribution to problem-solving, and found less cause for concern.<sup>6</sup>

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<sup>1</sup> Michael Zürn, Martin Binder and Matthias Ecker-Erhardt, 'International Political Authority and Its Politicization', *International Theory*, 4:1 (2012), pp. 69–106.

<sup>2</sup> Robert O'Brien, Anne M. Goetz, Jan A. Scholte and Michael Williams, *Contesting Global Governance: Multilateral Economic Institutions and Global Social Movements* (Cambridge: Cambridge University Press, 2000).

<sup>3</sup> Sara B. Hobolt, *Europe in Question. Referendums on European Integration* (Oxford: Oxford University Press, 2009).

<sup>4</sup> John Clark, *Globalizing Civic Engagement. Civil Society and Transnational Action* (London: Earthscan, 2003).

<sup>5</sup> See, for example, David Held and Mathias Koenig-Archibugi (eds), *Global Governance and Public Accountability* (Oxford: Blackwell, 2005); Michael Zürn, 'Democratic Governance beyond the Nation-State: The EU and other International Organizations', *European Journal of International Relations*, 6:2 (2000), pp. 183–221; Jan A. Scholte (ed), *Building Global Democracy? Civil Society and Accountable Global Governance* (Cambridge: Cambridge University Press, 2011).

<sup>6</sup> See, for example, Andrew Moravcsik, 'Is There a "Democratic Deficit" in World Politics? A Framework for Analysis', *Government and Opposition*, 39:2 (2004), pp. 336–63; Robert O. Keohane, Stephen Macedo and Andrew Moravcsik, 'Democracy-Enhancing Multilateralism', *International Organization*, 63:1 (2009), pp. 1–31.

This wave of research has addressed the legitimacy of IOs in its *normative* sense – IOs’ right to rule based on their conformity to certain philosophical values and principles. By contrast, we have little systematic knowledge about the legitimacy of IOs in the *sociological* sense – the acceptance of IOs’ right to rule by states and societies (here termed social legitimacy, for short). To date, existing empirical research on the social legitimacy of IOs is restricted to a well-developed literature on public opinion in the European Union (E.U.),<sup>7</sup> a specific literature on cosmopolitan values and public support for IOs,<sup>8</sup> a growing literature on legitimation and delegitimation strategies in relation to IOs,<sup>9</sup> and select contributions on topics such as legitimacy in the United Nations (U.N.) Security Council,<sup>10</sup> public opinion toward IOs in developing countries,<sup>11</sup> and the role of antipathy toward powerful states in shaping IOs’ perceived legitimacy.<sup>12</sup>

Better understanding the social legitimacy of IOs is imperative, since we can expect it to influence IOs’ potential to make a difference. Legitimacy is often seen as central for the

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<sup>7</sup> See, for example, Matthew Gabel, ‘Public Support for European Integration: An Empirical Test of Five Theories’, *Journal of Politics* 60:2 (1998): 333–54; Liesbet Hooghe and Gary Marks, ‘Calculation, Community and Cues. Public Opinion on European Integration’, *European Union Politics*, 6:4 (2005), pp. 419–43; Hajo G. Boomgaarden, Andreas R. T. Schuck, Matthijs Elenbaas and Claes H. de Vreese, ‘Mapping EU Attitudes: Conceptual and Empirical Dimensions of Euroscepticism and EU Support’, *European Union Politics*, 12:2 (2011), pp. 241–66.

<sup>8</sup> See, for example, Pippa Norris, ‘Global Governance and Cosmopolitan Citizens’, in Joseph S. Nye Jr. and Elaine Kamarck (eds), *Governance in a Globalizing World* (Washington D.C.: Brookings, 2000), pp. 155–77; Matthias Ecker-Erhardt, ‘Cosmopolitan Politicization: How Perceptions of Interdependence Foster Citizens Expectations in International Institutions’, *European Journal of International Relations*, 18:3 (2012), pp. 481–508.

<sup>9</sup> See, for example, Frank Nullmeier, Dominika Bignon, Martin Nonhoff, Henning Schmidtke and Steffen Schneider (eds), *Prekäre Legitimitäten: Rechtfertigung von Herrschaft in der post-nationalen Konstellation* (Frankfurt am Main: Campus, 2010); Steven Bernstein, ‘Legitimacy in Intergovernmental and Non-State Global Governance’, *Review of International Political Economy*, 18:1 (2011), pp. 17–51; Dominik Zaum (ed.), *Legitimizing International Organizations* (Oxford: Oxford University Press, 2013).

<sup>10</sup> Ian Hurd, *After Anarchy: Power and Legitimacy in the United Nations Security Council* (Princeton: Princeton University Press, 2007); Martin Binder and Monika Heupel, ‘The Legitimacy of the UN Security Council: Evidence from Recent General Assembly Debates’, *International Studies Quarterly*, Article first published online on 13 May 2014.

<sup>11</sup> Martin S. Edwards, ‘Public Support for the International Economic Organizations: Evidence from Developing Countries’, *Review of International Organizations* 4:2 (2009), pp. 185–209.

<sup>12</sup> Tana Johnson, ‘Guilt by Association: The Link between States’ Influence and Legitimacy of Intergovernmental Organizations’, *Review of International Organizations*, 6:1 (2011), pp. 57–84.

effectiveness of political institutions in general.<sup>13</sup> Institutions that are perceived as legitimate can more easily attract the resources required for their persistence and secure compliance with the principles they establish. According to some observers, this pertains particularly to IOs, since IOs typically do not have recourse to the option of coercion as a means of social control.<sup>14</sup> Absent social legitimacy, IOs may experience greater problems gaining state support for ambitious policy goals, securing national ratification of negotiated agreements, and achieving effective compliance with IO rules and norms. Hence, “[t]he perception of legitimacy matters, because, in a democratic era, multilateral institutions will only thrive if they are viewed as legitimate by democratic publics.”<sup>15</sup>

This article asks what drives citizens to support or oppose IOs. Its broader purpose is to advance an agenda of empirical research on the social legitimacy of IOs. To this end, we isolate and assess three generic theoretical explanations of the social legitimacy of IOs. The first explanation (interest representation) suggests that citizens form opinions of IO legitimacy based on IOs’ procedures for channeling and representing popular interests. The second explanation (institutional performance) posits that citizen perceptions of IO legitimacy are anchored in evaluations of IOs’ contributions to general and individual welfare. The third explanation (confidence extrapolation) submits that citizens are little influenced by input- or output-related properties of IOs when forming opinions about these organizations; instead, attitudes toward IOs are derived from citizens’ experiences of domestic political institutions.

We test hypotheses derived from these theories through a statistical analysis of data on the social legitimacy of the U.N. We focus on the U.N. for three reasons. First, we have access to more encompassing public opinion data on the U.N. than on any other global

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<sup>13</sup> Max Weber, *Economy and Society* (Berkeley: University of California Press, 1922/1978); Mark C. Suchman, ‘Managing Legitimacy: Strategic and Institutional Approaches’, *Academy of Management Review*, 20:3 (1995), pp. 571–610.

<sup>14</sup> Ian Hurd, ‘Legitimacy and Authority in International Politics’, *International Organization*, 53:2 (1999), pp. 379–408.

<sup>15</sup> Allen Buchanan and Robert O. Keohane, ‘The Legitimacy of Global Governance Institutions’, *Ethics and International Affairs*, 20:4 (2006), p. 407.

organization. Second, the U.N. probably constitutes the most well-known IO in world politics, making it reasonable to assume that respondents are able to form and express opinions toward the U.N. Third, the U.N. occupies a central position in global governance as the largest IO in terms of membership and policy scope, making it a particularly important case for an assessment of social legitimacy in international cooperation. Conceiving of social legitimacy as an attitudinal phenomenon, we construct a dataset on public confidence in the U.N. based on World Values Survey (WVS) and the European Values Study (EVS) data for 26 countries from 1999 to 2004.

Our multi-level analysis provides clear empirical support for two explanations: institutional performance and confidence extrapolation. By contrast, the evidence for a link between interest representation and U.N. legitimacy is weak. These results indicate that the social legitimacy of the U.N. primarily is anchored in the organization's capacity to deliver, as well as in citizens' general confidence in political institutions. While many have called for IOs to become more inclusive and democratic in order to strengthen their perceived legitimacy, the evidence from the U.N. suggests that many citizens still base their assessments of IOs on the benefits they generate for states and societies – next to domestic experiences that IOs often have little to do with and can do little to change.

This article proceeds as follows. The next section outlines the three alternative explanations of the social legitimacy of IOs. The third section introduces the dataset and formulates a set of statistical models that test the developed hypotheses. The fourth section presents the empirical results. The conclusion summarizes the findings and outlines implications for the understanding of global governance.

## The Social Legitimacy of IOs: Theories and Hypotheses

Legitimacy has two alternative conceptual meanings: a normative and a sociological.<sup>16</sup> While normative legitimacy refers to an institution's right to rule, based on its conformance to certain values and principles, sociological legitimacy refers to the acceptance of an institution within a given audience. Inquiries into the normative and sociological legitimacy of political institutions are thus guided by different questions. Studies of normative legitimacy typically ask "By what normative standards should we evaluate institutions' right to rule, and how do individual institutions fare when measured against these standards?" while inquiries into social legitimacy ask "To what extent are institutions accepted by the people, and what explains variation in their perceived legitimacy?"

When we refer to the social legitimacy of IOs, we speak of their legitimacy in the sociological sense. We perceive of legitimacy as a social property of the relationship between an IO and its public, here conceptualized as the citizens of its member states.<sup>17</sup> The social legitimacy of an IO says little about the actual rightness or goodness of the organization; it refers exclusively to the public's acceptance of and support for that organization. Consequently, it is not a constant, but may vary over time and across subsets of the public. Neither is the social legitimacy of an IO necessarily based on a single logic, but may be shaped by multiple sources that make citizens more or less supportive of an organization.

In line with existing literature, we distinguish between input- and output-legitimation of IOs.<sup>18</sup> In the logic of input-oriented legitimation, IOs generate societal acceptance as a result of procedures that allow for participation and representation *by* and *of* the people. In the logic

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<sup>16</sup> See, for example, Richard H. Fallon, 'Legitimacy and the Constitution', *Harvard Law Review*, 118:6 (2005), pp. 1787–853; Buchanan and Keohane, 'The Legitimacy of Global Governance Institutions'.

<sup>17</sup> This is not the exclusive way of conceptualizing the relevant public of an IO. For a study of IO legitimacy that uses member states as the relevant public, see Hurd, *After Anarchy*.

<sup>18</sup> Fritz Scharpf, *Governing in Europe: Effective and Democratic?* (Oxford: Oxford University Press, 1999); Sara B. Hobolt, 'Citizen Satisfaction with Democracy in the European Union', *Journal of Common Market Studies*, 50:S1 (2012), pp. 88–105; Vivien. A. Schmidt, 'Democracy and Legitimacy in the European Union Revisited: Input, Output, and "Throughput"', *Political Studies*, 61:1 (2012), pp. 2–22.

of output-oriented legitimation, IOs gain acceptance by governing effectively and generating benefits *for* the people. In the following, we refer to these explanations as interest representation and institutional performance. Existing studies of both discourse and attitudes grant support to the assumption that we can distinguish analytically and empirically between input- and output-related sources of social legitimacy.<sup>19</sup>

In both logics, we expect that citizens may form opinions about IOs based on both private and collective dimensions of interest representation and institutional performance. This presupposes a broad understanding of legitimacy that does not preclude sources of societal acceptance based on instrumental considerations. While some researchers restrict legitimacy to the acceptance of an institution's right to rule irrespective of its consequences for private interests, we operate with a conceptualization that allows for instrumental concerns to play a causal role in the formation of legitimacy beliefs.<sup>20</sup> Hence, input-oriented considerations may be based on whether citizens themselves are well-represented or whether IO decision-making in general provides opportunities for citizens to be represented. Likewise, output-oriented considerations may include both whether IOs contribute to citizens' individual welfare and whether they promote collective welfare.

In addition to these standard logics of legitimation, we assess a third explanation that recently has gained prominence in research on the social legitimacy of the E.U., and whose explanatory potential remains to be explored beyond this empirical realm.<sup>21</sup> According to the logic of extrapolation, citizens form legitimacy beliefs about IOs based on heuristics derived from domestic political institutions. In other words, citizens' confidence in IOs is based on cues obtained in domestic political arenas and may be unrelated to citizens' evaluations of

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<sup>19</sup> See, for example, Nullmeier, Bignon, Nonhoff, Schmidtke and Schneider, *Prekäre Legitimitäten*; Boomgaarden, Schuck, Elenbaas and de Vreese, 'Mapping EU Attitudes'.

<sup>20</sup> Hurd, *After Anarchy*, pp. 66–9.

<sup>21</sup> See, for example, Klaus Armingeon and Besir Ceka, 'The Loss of Trust in the European Union during the Great Recession since 2007: The Role of Heuristics from the National Political System.' *European Union Politics*, 15:1 (2014), pp. 82–107; Eelco Harteveld, Tom van der Meer and Catherine E. de Vries, 'In Europe we Trust? Exploring three Logics of Trust in the European Union', *European Union Politics*, 14:4 (2013), pp. 542–65.

IOs. The hypotheses we develop are tailored for an assessment of these logics in the context of a single IO – the U.N.

### **Interest Representation**

The first explanation is based on the premise that citizens form opinions about the legitimacy of IOs based on the organizations' institutional arrangements for channeling and representing citizen demands. It assumes that popular conceptions of legitimacy are informed by input-oriented standards – participation and representation – that are central to domestic democracies.<sup>22</sup>

This explanation of the social legitimacy of IOs features prominently in existing scholarship, where many contributions point to growing societal contestation of IOs, rooted in discontent with existing modes of citizen involvement in policy-making.<sup>23</sup> Common examples are popular protests against organizations such as the E.U., the World Bank, and the U.N. While IOs for long profited from what Leon Lindberg and Stuart Scheingold termed a “permissive consensus” – a situation where citizens in general took little interest in international cooperation, but supported its broad goals – this appears to have become an increasingly inaccurate description.<sup>24</sup> In the context of the E.U., there is evidence that citizens' evaluations of the organization's democratic procedures and institutions positively affect their attitudes toward the EU, and that an exclusive focus on the effectiveness of the EU would not be sufficient to satisfy citizen demands.<sup>25</sup>

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<sup>22</sup> Scharpf, *Governing in Europe*; David Held, *Democracy and the Global Order: From the Modern State to Cosmopolitan Governance* (Cambridge: Polity Press, 1995); Bernstein, ‘Legitimacy in Intergovernmental and Non-State Global Governance’.

<sup>23</sup> O'Brien, Goetz, Scholte and Williams, *Contesting Global Governance*; Sidney Tarrow, *The New Transnational Activism* (Cambridge: Cambridge University Press, 2005).

<sup>24</sup> Leon N. Lindberg and Stuart A. Scheingold, *Europe's Would-Be Polity: Patterns of Change in the European Community* (Eaglewood Cliffs, NJ: Prentice-Hall, 1970); see also Liesbet Hooghe and Gary Marks, ‘A Postfunctionalist Theory of European Integration: From Permissive Consensus to Constraining Dissensus’, *British Journal of Political Science*, 39:1 (2009), pp. 1–23; Zürn, Binder and Ecker-Erhardt, ‘International Political Authority’.

<sup>25</sup> Hobolt, ‘Citizen Satisfaction with Democracy’.

The overall expectation derived from this explanation is that popular perceptions of IO legitimacy will be shaped by existing procedures for citizen input and representation. Generally speaking, we would expect IOs with more inclusive decision-making systems to be perceived as more legitimate. In the context of a single IO, such as the U.N., we would expect citizens who are relatively better represented in the decision-making system to be more favorably disposed toward that IO. This logic yields three specific expectations.

First, IO procedures for citizen representation through member governments may influence public perceptions of legitimacy. This form of indirect representation remains the predominant mode of citizen representation in most IOs, and it is reasonable to expect that citizens oftentimes conceive of the national government as their primary channel of representation. Yet, while many intergovernmental bodies are based on the principle of state equality, some IOs grant an exclusive group of member states a disproportionate say in decision-making.<sup>26</sup> The World Trade Organization (W.T.O.) is famous for its club model of multilateral cooperation, the International Monetary Fund (I.M.F.) for differentiated voting power according to economic strength, and the U.N. for the exclusive powers of the permanent members of the Security Council. Such institutionalized disparities have been the objects of popular criticism and can be expected to influence public perceptions of IO legitimacy. Typically, we would expect those states and citizens who are disfavored by such arrangements to find them least acceptable, and vice versa.<sup>27</sup> Hence, we hypothesize that:

**Hypothesis 1.** *The better represented citizens are in an IO through their national government, the more likely they are to perceive of that IO as legitimate.*

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<sup>26</sup> Robert O. Keohane and Joseph S. Nye, 'The Club Model of Multilateral Cooperation and Problems of Democratic Legitimacy', in Roger B. Porter, Pierre Sauvé, Arvind Subramanian, and Americo Beviglia Zampetti (eds), *Efficiency, Equity, and Legitimacy: The Multilateral Trading System at the Millennium* (Washington D.C.: Brookings, 2001), pp. 264–94; Stone, *Controlling Institutions*.

<sup>27</sup> Note that citizens who are well represented may also recognize that these favors come at someone else's expense. However, we find it more likely that disadvantaged citizens are dissatisfied with differentiated representation than advantaged citizens, and therefore formulate the hypothesis in positive terms.

Second, IOs' procedures for citizen representation through civil society organizations (CSOs) may shape the social legitimacy of IOs. Bernauer and Gampfer, for example, find that civil society involvement positively affects public support for global environmental governance.<sup>28</sup> According to many accounts, recent years have witnessed the emergence of a global participatory norm, prescribing civil society involvement as a remedy for democratic deficits in global governance.<sup>29</sup> In this vein, former UN Secretary-General Boutros Boutros-Ghali characterized CSOs as "a basic form of popular representation in the present-day world."<sup>30</sup> Furthermore, research shows that IOs have increasingly opened up to CSOs over recent decades, but that significant variation remains.<sup>31</sup> Some IOs provide for more civil society involvement than others, and where CSOs enjoy access, some citizens tend to be better represented than others. Some types of organizations (for example, business associations) may enjoy greater access and be better mobilized than others (for example, consumer protection associations), just as some countries (for example, democracies) may provide a more fertile ground for CSOs to develop and go international than others (for example, autocracies). Such differences are likely to influence citizen evaluations of IO legitimacy. Functioning as a "transmission belt" between citizens and IOs,<sup>32</sup> CSOs both alert IOs to citizen preferences and inform citizens of IO activities. Hence, we expect that:

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<sup>28</sup> Thomas Bernauer and Robert Gampfer, 'Effects of Civil Society Involvement on Popular Legitimacy of Global Environmental Governance', *Global Environmental Change*, 23:2 (2013), pp. 439–49.

<sup>29</sup> See, for example, Karin Bäckstrand, 'Democratizing Global Environmental Governance? Stakeholder Democracy after the World Summit on Sustainable Development', *European Journal of International Relations*, 12:4 (2006), pp. 467–98; Sabine Saurugger, 'The Social Construction of the Participatory Turn: The Emergence of a Norm in the European Union', *European Journal of Political Research*, 49:4 (2010), pp. 471–95.

<sup>30</sup> Boutros Boutros-Ghali, 'Keynote Address to the 47th DPI/NGO Conference', *Transnational Associations*, 47:6 (1995), p. 345.

<sup>31</sup> Jens Steffek, Claudia Kissling and Patrizia Nanz (eds), *Civil Society Participation in European and Global Governance: A Cure for the Democratic Deficit?* (Basingstoke: Palgrave, 2008); Jonas Tallberg, Thomas Sommerer, Theresa Squatrito, and Christer Jönsson, *The Opening Up of International Organizations: Transnational Access in Global Governance* (Cambridge: Cambridge University Press, 2013).

<sup>32</sup> Steffek, Kissling and Nanz, *Civil Society Participation*.

**Hypothesis 2.** *The better represented citizens are in an IO through CSOs, the more likely they are to perceive of that IO as legitimate.*

Third, citizens' general attitudes toward democracy as a system of governance may affect popular assessments of IO legitimacy. The creation and empowerment of IOs is a case of delegation to non-majoritarian institutions, equal to the delegation of power to non-elected expert institutions in national democracies, such as agencies, courts, and central banks.<sup>33</sup> If citizens greatly value democracy as a system in itself, with its emphasis on popular participation and majoritarian rule, we could expect them to be critical of IOs. Indeed, the shifting of issues from the domain of national democratic contestation to international technocratic governance is a common component of popular criticism against IOs.<sup>34</sup> We hypothesize:

**Hypothesis 3.** *The more citizens value democracy as a system of rule, the less likely they are to perceive of IOs as legitimate.*

### **Institutional Performance**

The premise of the second explanation is that citizens form opinions about the legitimacy of IOs based on their institutional performance. It assumes that popular conceptions of legitimacy are informed by output-oriented standards – effectiveness and benefits – that contribute to general and individual welfare. This explanation suggests that substantive

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<sup>33</sup> Alec Stone Sweet and Mark Thatcher, 'Theory and Practice of Delegation to Non-Majoritarian Institutions', *West European Politics*, 25:1 (2002), pp. 1–22; Darren G. Hawkins, David A. Lake, Daniel L. Nielson and Michael J. Tierney (eds), *Delegation and Agency in International Organizations* (Cambridge: Cambridge University Press, 2006).

<sup>34</sup> Zürn, Binder and Ecker-Erhardt, 'International Political Authority'.

outcomes are more powerful in shaping citizens' views toward IOs than inclusiveness and representation.

The notion that institutional output can account for public confidence is a prominent explanation in the study of national political institutions. In this vein, Newton and Norris suggest that: "Government institutions that perform well are likely to elicit the confidence of citizens; those that perform badly or ineffectively generate feelings of distrust and low confidence."<sup>35</sup> This line of argument is often invoked in the extensive literature on public attitudes toward European integration,<sup>36</sup> but rarely in present scholarship about IOs other than the E.U. One exception is Ecker-Ehrhardt, who finds support for an output-related logic of legitimation in relation to the E.U., U.N., W.T.O., I.M.F., and G8.<sup>37</sup> Instead, it is the conventional view that output legitimation dominated in the period of permissive consensus, when citizens enjoyed the fruits of international cooperation and gave their latent support, but has fallen out of fashion, as citizen awareness and contestation of IOs has grown.<sup>38</sup>

The overall expectation derived from this explanation is that the social legitimacy of IOs will be shaped by the organizations' contribution to general and individual welfare. In terms of general welfare, we would expect IOs that perform well to be more likely to enjoy citizens' support than IOs that perform badly. In terms of individual welfare, we would expect citizen support to vary depending on whether the institutional output from a specific IO on balance is advantageous or disadvantageous.<sup>39</sup> Such output-based legitimacy may be rooted in, and explored through, either subjective or objective benefits from IOs, depending on

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<sup>35</sup> Kenneth Newton and Pippa Norris, 'Confidence in Public Institutions: Faith, Culture or Performance?', in Susan J. Pharr and Robert D. Putnam (eds), *Disaffected Democracies: What's Troubling the Trilateral Countries?* (Princeton: Princeton University Press, 2001), p. 61; see also James L. Gibson, Gregory A. Caldeira and Lester Kenyatta Spence, 'Measuring Attitudes toward the United States Supreme Court', *American Journal of Political Science*, 47:2 (2003), pp. 354–67.

<sup>36</sup> See, for example, Gabel, 'Public Support for European Integration'; Hartevelde, van der Meer and de Vries, 'In Europe we Trust?'

<sup>37</sup> Ecker-Erhardt, 'Cosmopolitan Politicization'.

<sup>38</sup> Hooghe and Marks, 'A Postfunctional Theory of European Integration'; Zürn, Binder and Ecker-Erhardt, 'International Political Authority'.

<sup>39</sup> Hurd, *After Anarchy*, p. 67.

whether we focus on citizens' beliefs about interest satisfaction or objective facts about their needs and interests.<sup>40</sup> This logic generates three specific expectations.

First, since IOs nowadays engage in a broad range of executive tasks, they are likely to be evaluated by citizens according to how well these functions are performed. The delegation of authority to IOs is typically motivated by the capacity of IOs to address cross-border problems better than individual states. Yet perceptions of how well IOs succeed may vary across citizens, influencing their acceptance of and support for IOs. We hypothesize that:

**Hypothesis 4.** *The higher the perceived problem-solving effectiveness of an IO, the more likely citizens are to perceive of that IO as legitimate.*

Second, IOs generate costs and benefits that tend to be unequally distributed across member states and segments of their populations, and which we can expect will influence the perceived legitimacy of IOs. Such costs and benefits may be economic, as when regulatory policy produces varying distributional consequences, or when IOs engage in redistributive policy, such as development aid. In some IOs and countries, member state contributions to the budget are highly politicized as well, as in the case of the U.S. contribution to the U.N. budget and net payers' contributions to the E.U. budget. The costs and benefits from cooperation can also be political. While states may value membership of an IO because of the general advantages of collective decision-making, not all states are likely to enjoy the same political benefits from IO policy.<sup>41</sup> Moreover, specific decisions or actions may be targeted at individual member states, generating domestic contention of IO policy. We hypothesize:

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<sup>40</sup> Hooghe and Gary Marks, 'Calculation, Community and Cues', pp. 421–2; Hurd, *After Anarchy*, p. 68.

<sup>41</sup> Randall Stone, *Controlling Institutions: International Organizations and the Global Economy* (Cambridge: Cambridge University Press, 2011).

**Hypothesis 5.** *The more advantageous the distribution of costs and benefits from IO output, the more likely citizens are to perceive of that IO as legitimate.*

### **Confidence Extrapolation**

The premise of the third explanation is that citizens form legitimacy beliefs vis-à-vis IOs based on their general predispositions rather than their perceptions of input- and output-related properties of these organizations. As a consequence, confidence in IOs may be unrelated to IOs themselves but rather derived from confidence in national political institutions.

The general notion that different forms of confidence in political institutions are inextricably related, regardless of whether institutions are situated at the domestic or the international level, is well represented in existing literature. Previous works in Comparative Politics find that positive experiences can give rise to virtuous circles of high trust in political institutions, just as negative experiences can lead to vicious circles of low trust.<sup>42</sup> For instance, when the performance of representative government is seen as effective, this strengthens the perceived legitimacy of the legislature, the judiciary, and the executive.<sup>43</sup> Conversely, corruption in a political system tends to undermine citizen confidence in political institutions in general.<sup>44</sup>

Recently, this logic has been put to a test in research on the legitimacy of the E.U., and been found to have extensive explanatory power.<sup>45</sup> According to this literature, legitimacy

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<sup>42</sup> Robert Putnam, *Making Democracy Work. Civic Traditions in Modern Italy* (Princeton: Princeton University Press, 1993); Eric M. Uslaner, *The Moral Foundations of Trust* (New York: Cambridge University Press, 2002).

<sup>43</sup> Bo Rothstein, *Social Traps and the Problem of Trust* (Cambridge: Cambridge University Press, 2005).

<sup>44</sup> Benno Torgler, 'Trust in International Organizations: An Empirical Investigation Focusing on the United Nations', *Review of International Organizations*, 3:1 (2008), 65–93.

<sup>45</sup> Christopher J. Anderson, 'When in Doubt, Use Proxies: Attitudes Toward Domestic Politics and Support for European Integration', *Comparative Political Studies*, 31:5 (1998), pp. 569–601; Robert Rohrschneider, 'The Democracy Deficit and Mass Support for an EU-Wide Government', *American Journal of Political Science*, 46:2 (2002), pp. 462–75; Jordi Muñoz, Mariano Torcal and Eduard Bonet, 'Institutional Trust and Multilevel Government in the European Union: Congruence or Compensation?', *European Union Politics*, 12:4 (2011), pp.

beliefs vis-à-vis the E.U. are, at least in part, a function of a “trust syndrome”,<sup>46</sup> whereby the legitimacy of the E.U. can be predicted to a significant degree by the legitimacy of domestic political institutions. This argument is based on the premise that citizens know little about IOs, their procedures, and their performance, and therefore form legitimacy beliefs about these organizations based on their general experiences of political institutions at the domestic level, which are used as heuristics or cues. In this vein, Armingeon and Ceka explain the decline in support for the E.U. during the recent economic recession, not with the performance of the E.U., but with the declining levels of trust in national governments.<sup>47</sup> The general implication is that IOs can do little on their own to raise their legitimacy in the eyes of citizens. Drawing on this body of literature, we hypothesize:

**Hypothesis 6.** *The higher citizens’ confidence in national political institutions, the more likely citizens are to perceive of IOs as legitimate.*

## Research Design

To empirically study the social legitimacy of IOs, we require a method for measuring the acceptance of the organizations within a given audience. Existing research suggest three alternative methods of inquiry: assessments of public opinion (audience beliefs), mapping of public participation and protest (audience behavior), and analysis of discursive practices in

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551–74; Harteveld, van der Meer and de Vries, ‘In Europe we Trust?’; Armingeon and Ceka, ‘The Loss of Trust in the European Union’.

<sup>46</sup> Harteveld, van der Meer and de Vries, ‘In Europe we Trust?’, p. 561.

<sup>47</sup> Armingeon and Ceka, ‘The Loss of Trust in the European Union’. Other research in this tradition refines or reverses the logic of this basic argument. Several contributions find that more knowledgeable or cognitively mobilized people rely less on heuristics from domestic politics (for example, Hobolt, ‘Citizen Satisfaction with Democracy’). Others hypothesize and find support for the reverse logic: low levels of support for national political institutions feed into greater support for the E.U., which appears as a savior from malfunctioning domestic politics (Ignacio Sánchez-Cuenca, ‘The Political Basis of Support for European Integration’, *European Union Politics*, 1:2 (2000), pp. 147–71).

the public realm (audience statements).<sup>48</sup> In this article, we are interested in examining the theoretical mechanisms that may explain variation in popular support for IOs at the individual level, and therefore rely on assessments of public opinion. Recent Gallup polls in 72 countries indicate that citizen awareness of IOs is high, with as much as 84 percent reporting familiarity with the U.N.<sup>49</sup>

To operationalize the hypotheses, we compile a dataset based on individual-level data from the third wave of the European Values Study (EVS) and the fourth wave of the World Values Survey (WVS). Since some of the hypotheses predict an effect of contextual factors, we add country-level characteristics for the specific survey years to create a dataset with individuals nested in 26 countries that vary widely in terms of economic development, degree of democratization, and experience with military and colonial conflicts.<sup>50</sup>

The dependent variable, *U.N. confidence*, builds on responses to a question about how much confidence citizens have in the U.N.: a great deal of confidence, quite a lot of confidence, not very much confidence, or no confidence at all.<sup>51</sup> The more citizens know about the particular operations and policies of the U.N., the more likely it is that responses to this question capture citizens' specific support for the U.N. Yet, since the particular activities of the U.N. may not always be visible and known to citizens, this question may also capture citizens' diffuse support for the IO, based on general impressions. The confidence measure is

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<sup>48</sup> Henning Schmidtke and Steffen Schneider, 'Methoden der empirischen Legitimationsforschung: Legitimität als mehrdimensionales Konzept', in Anna Geis, Frank Nullmeier, and Christopher Daase (eds), *Der Aufstieg der Legitimitätspolitik: Rechtfertigung und Kritik politisch-ökonomischer Ordnungen* (Baden-Baden: Nomos, 2012), pp. 225–44.

<sup>49</sup> Gallup International Association, *Voice of the People. ICPSR04636-v1* (Zürich: Gallup International Association, 2005).

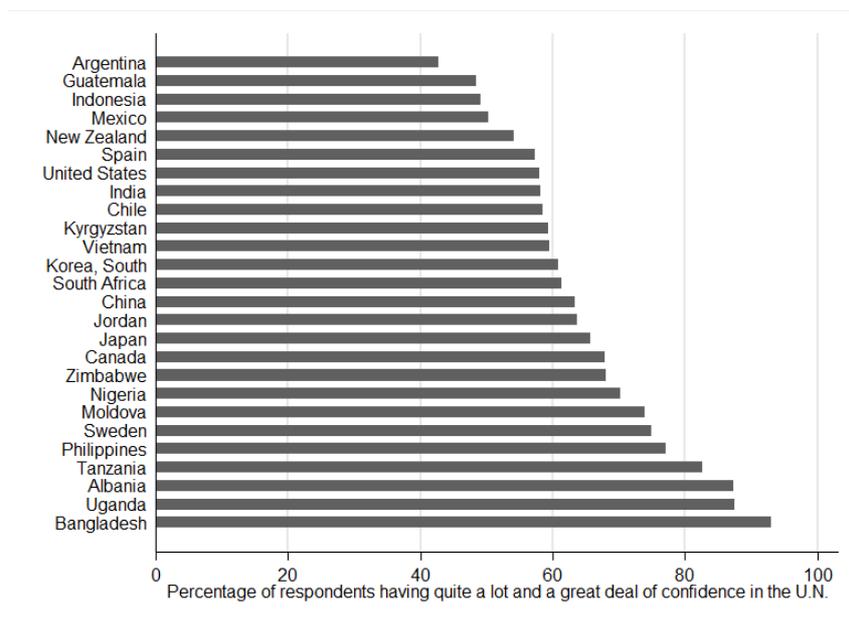
<sup>50</sup> See Table A1 in Appendix A. Tables A2 and A3 in Appendix A provide summary statistics of and correlations between these variables. All material necessary to replicate the analyses in this paper are published on the authors' homepages.

<sup>51</sup> Appendix B gives an overview of the question wordings for all questions used to code the individual-level variables, as well as the coding of the answer categories.

a conventional indicator of the social legitimacy of political institutions in Comparative Politics.<sup>52</sup>

Since variation in citizen confidence in the U.N. across countries and groups of individuals has been thoroughly described elsewhere,<sup>53</sup> we limit ourselves to depict how the variable *U.N. confidence* varies across the countries in the dataset. Figure 1 reveals that in four countries, more than 80 percent of the respondents indicated having a great deal or quite a lot of confidence in the U.N. (Albania, Bangladesh, Tanzania and Uganda). By contrast, less than 50 percent of the respondents in Argentina, Guatemala and Indonesia had a great deal or quite a lot of confidence in the U.N. The mean percentage of respondents expressing a great deal or quite a lot of confidence in the U.N. across the 26 countries in our dataset is 65.80 percent, with a standard deviation of 12.44 and a range of 50.15 percent.

**FIGURE 1. U.N. confidence in 26 countries**



Note: Number of individuals: 21860.

<sup>52</sup> See Gregory A. Caldeira and James L. Gibson, ‘The Etiology of Public Support for the Supreme Court’, *American Journal of Political Science*, 36:3 (1992), pp. 635–64; Marc Bühlmann and Ruth Kunz, ‘Confidence in the Judiciary: Comparing the Independence and Legitimacy of Judicial Systems’, *West European Politics*, 34:2 (2011), pp. 317–45.

<sup>53</sup> Pippa Norris (ed), *Critical Citizens: Global Support for Democratic Governance* (Oxford: Oxford University Press, 1999); Pippa Norris, ‘Confidence in the United Nations: Cosmopolitan and Nationalistic Attitudes’, in Yilmaz Esmer and Thorleif Petterson (eds), *The International System, Democracy and Values* (Uppsala: Acta Universitatis Upsaliensis, 2009), pp. 17–49.

Next, we turn to the measurement of the independent variables. To begin with, we assume that citizens can be represented at the U.N. level through two main venues: first, through national governments, and second, through CSOs. To capture the degree of representation through national governments predicted in hypothesis 1, we draw on the U.N. Security Council membership database to code the variable *Government representation 2* if a country was a permanent member of the Security Council in the year in which the survey data for that particular country was collected, 1 if it was a temporary member, and 0 otherwise.<sup>54</sup> We assume respondents to be aware of their country's permanent or temporary membership in the Security Council. While the exclusive status of the five permanent members is common knowledge, temporary memberships generate extensive attention as well, as contestants invest considerable resources into election campaigns and, when successful, try to make the most of the visibility and authority of the office.<sup>55</sup>

To measure representation through CSOs, which we expect to have a positive effect on confidence levels in hypothesis 2, we draw on information from the civil society database of the U.N.<sup>56</sup> The variable *Civil society representation* measures the number of CSOs accredited to the U.N. from a particular country in the year in which the survey data for that country was collected. A few countries have an exceptionally high number of CSOs accredited to the U.N., notably, the United States (292 CSOs) and India (222 CSOs). We address the potential problem that the inclusion of these two particular countries biases the regression results by including the variable *Civil society representation* in its logarithmic form to treat deviations above and below the average value of this variable in the same way. Furthermore, we replicate all analyses, excluding the United States and India.

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<sup>54</sup> United Nations, 'UN Security Council Members', 2012 (available at <http://www.un.org/sc/members.asp>).

<sup>55</sup> Ian Hurd, 'Legitimacy, Power, and the Symbolic Life of the U.N. Security Council', *Global Governance*, 8:1 (2002), pp. 41–4.

<sup>56</sup> United Nations, 'Integrated Civil Society Organizations System', 2011 (available at: <http://esango.un.org/civilsociety>).

Third, to test hypothesis 3, we create a measure of citizens' *Democracy support* through an index used in previous studies.<sup>57</sup> To do so, we sum up the respondents' support for the statement "Democracy may have problems but it's better than any other form of government" and "Having a democratic political system in the home country." This yields a 0-6 pro-democratic scale, from which we subtract another scale that we obtain by adding respondents' support for the statements "Having a strong leader who does not have to bother with parliament and elections" and "Having the army rule." The resulting index ranges from -6 (maximum autocratic support) to 6 (maximum democratic support).

Turning to institutional performance, we operationalize hypotheses 4 and 5 through two indicators. First, to measure citizens' perceptions of U.N. problem-solving, we use responses to a question about who should decide on specific problems: the U.N. only, the national government under the guidance of the U.N., or the national government only. Respondents could express their opinion with regard to five policy areas: human rights, international peacekeeping, protection of the environment, aid to developing countries, and refugees. By adding up the responses on each of these dimensions, we obtain an index, *Perceived U.N. problem-solving*, ranging from 0 (minimum support for U.N. policy output) to 10 (maximum support for U.N. policy output). This measure rests on the assumption that respondents who wish a greater involvement of the U.N. in policy-making are more content with U.N. output.<sup>58</sup>

Second, we create a measure of the costs that a country incurs due to U.N. membership, assuming that citizens do cost-benefit calculations when forming opinions about U.N. policy output. The variable *Net contributions* measures the yearly average of a country's net contributions per capita to the regular U.N. budget. To code this variable, we take figures

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<sup>57</sup> See, for example, Amaney Jamal and Irfan Nooruddin, 'The Democratic Utility of Trust: A Cross-national Analysis', *Journal of Politics*, 72:1 (2010), pp. 45–59.

<sup>58</sup> The correlation between *Perceived U.N. problem-solving* and *U.N. confidence* is low ( $r=.08$ ), indicating that these two variables capture distinct phenomena.

from the official U.N. record of member state contributions.<sup>59</sup> The amount of member state contributions to the regular budget is calculated on the basis of the country's ability to contribute, whereby factors such as gross national income and state debt are considered.<sup>60</sup> As wealthier countries contribute more than their poorer counterparts, the variable is skewed to the right. Again, to treat deviations above and below the average value of this variable in the same way, *Net contributions* enters the regression models in its logarithmic form.

Finally, we operationalize hypothesis 6 on confidence extrapolation through one indicator. To explore whether citizens form attitudes toward the U.N. based on their attitudes toward domestic political institutions, we create a variable on the basis of a question about confidence in various national state institutions. The variable *Government confidence* summarizes citizen confidence in the national parliament and civil services in an index ranging from 0 (minimum confidence) to 6 (maximum confidence).

We also include several control variables in the analysis. At the individual level, we seek to capture citizens' sense of global belonging by using the responses to two questions about the geographical domains respondents feel that they belong to first and second (cf. Norris 2000). The variable *Geographical identity* is coded 2 if the respondent indicated a belonging to the world or the continent as a first choice, 1 if the respondent indicated a belonging to the world or the continent as a second choice, and 0 in the case of a national, regional or local identity.<sup>61</sup> Furthermore, we measure *Income* on the basis of a five-point ordinal scale. By calculating the income quintiles of respondents' households, we seek to capture the relative income of households. This is based on the notion that relative rather than

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<sup>59</sup> United Nations, 'Regular Budget and Working Capital Fund. U.N. Committee on Contributions', 2012 (available at <http://www.un.org/en/ga/contributions/budget.shtml>).

<sup>60</sup> Cf. United Nations, 'Resolution Adopted by the General Assembly (A/RES/64/248)', 2010 (available at [http://www.un.org/ga/search/view\\_doc.asp?symbol=a/res/64/248](http://www.un.org/ga/search/view_doc.asp?symbol=a/res/64/248)).

<sup>61</sup> Cf. Jai K. Jung, 'Growing Supranational Identities in a Globalising World? A Multilevel Analysis of the World Values Surveys', *European Journal of Political Research*, 47:5 (2008), pp. 578–609.

absolute income should influence respondents' attitudes toward political institutions.<sup>62</sup> To illustrate, households that score 1 are below the poverty line, whereas households that score 5 have an annual income of 75,000 USD or more. We construct the variable *Education* as an index ranging from 0 to 4, where respondents score 0 if they have inadequately completed elementary education, 1 if they have completed elementary education, 2 if they have completed secondary school of a vocational or technical type, 3 if they have completed secondary school of a university-preparatory type, and 4 if they have entered university or have a university degree. *Age* enters the analysis as a continuous variable. Furthermore, we measure *Cognitive mobilization*, the level of awareness of an institution, by using the frequency of a respondent's discussion of politics with friends. Those who possess a greater store of political information (the "cognitively mobilized") should be more able to take positive information about the U.N. into account when forming an opinion about the organization and may hence be more likely to support the U.N.<sup>63</sup> *Gender* is as a dummy variable equal to 1 for women and 0 for men. Finally, we include a dummy variable measuring generalized social trust, as previous research suggests that social trust may be related to confidence in the U.N.<sup>64</sup> The variable *Social trust* is coded 1 if respondents indicate that "most people can be trusted" and 0 if they indicate that one "can't be too careful."<sup>65</sup>

At the country-year level, we include a variable *Conflict location* that measures domestic armed conflict. It is coded 0 if a country is not listed as a conflict location, 1 if it is listed as a location of a minor armed conflict, 2 in the case of an intermediate armed conflict,

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<sup>62</sup> Frederick Solt, 'Economic Inequality and Democratic Political Engagement', *American Journal of Political Science*, 52:1 (2008), pp. 48–60.

<sup>63</sup> Cf. Gregory A. Caldeira and James L. Gibson, 'The Legitimacy of the Court of Justice in the European Union: Models of Institutional Support', *American Journal of Political Science*, 89:2 (1995), pp. 356–76.

<sup>64</sup> Torgler, 'Trust in International Organizations'.

<sup>65</sup> This measure captures the extent to which people rely on each other, also on those that they do not personally know, and is distinct from *Government confidence*, which taps confidence in specific actors and institutions, such as politicians, officials, and organizations. Cf. John Brehm and Wendy Rahn, 'Individual-Level Evidence for the Causes and Consequences of Social Capital', *American Journal of Political Science*, 41:3 (1997), pp. 999–1023.

and 3 in the case of a war.<sup>66</sup> Citizens from conflict zones might perceive the U.N. differently than citizens from countries that are not plagued by armed conflicts or wars, depending on their perception of the success of U.N. peacekeeping interventions. Countries listed as conflict zones in the dataset are Algeria, India, Macedonia, the Philippines and Uganda. Given that most countries score zero on this variable, we replicate all regression models by excluding this variable in order to check if the results hold when we do not control for *Conflict location*. Moreover, we use the *Corruption Perception Index* to capture the quality of domestic institutions.<sup>67</sup> The idea behind this variable is that people who experience corruption in the domestic context will be more skeptical of IOs as well. On the other hand, high levels of corruption may raise hopes among citizens that IOs can help combat this problem, which would increase their support for the U.N. Last, we introduce a variable *Colonial past* that assumes a value of 1 if a country previously has been colonized by a Western overseas colonial power.<sup>68</sup> Citizens in countries with a colonial legacy might view the U.N. as a vehicle of Western hegemony, which would decrease their confidence in the organization.<sup>69</sup> At the same time, citizens from countries with a colonial past could be more supportive of the U.N., as they might perceive the equal voting rights in the General Assembly as an opportunity to have influence on a par with that of former colonial powers. More than half of the countries in the data set have a colonial origin, among them Argentina, Bangladesh and Zimbabwe.

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<sup>66</sup> Kristian S. Gleditsch, 'Expanded Trade and GDP Data', *Journal of Conflict Resolution* 46:5 (2002), pp. 712–24. Data are derived from Jan Teorell, Marcus Samanni, Sören Holmberg and Bo Rothstein, 'The Quality of Government Dataset, Version 6 April 2011', University of Gothenburg: The Quality of Government Institute, 2011 (available at [http://www.qog.pol.gu.se/data/qogstandard\\_dataset/](http://www.qog.pol.gu.se/data/qogstandard_dataset/)).

<sup>67</sup> Teorell, Samanni, Holmberg and Rothstein, 'The Quality of Government Dataset'.

<sup>68</sup> Torgler, 'Trust in International Organizations'.

<sup>69</sup> Donald Puchala, 'World Hegemony and the United Nations', *International Studies Review*, 7:1 (2005), pp. 571–84.

## Empirical Analysis

The empirical analysis consists of a series of multi-level models that distinguish between two levels: the individual and the country level. Given that our data are hierarchical, the main advantage of multi-level models compared to single-level regression models is that multi-level models adjust for the correlation of the error components at the two levels.<sup>70</sup> As the dependent variable *U.N. confidence* takes on four ordered values, we estimate the models using ordered logistic regression. This type of regression analysis estimates a latent variable as a linear function of the independent variables and a set of cut points. Since the indicator for *U.N. confidence* takes on four values, there are three cut points. The aim is to observe the probability that the estimated linear function is within the range of these cut points estimated for the dependent variable. In other words, we will observe at which point on the latent variable the categories change, for example from “no confidence” to “not very much confidence”. We add a random intercept, as responses are likely to vary across national contexts. The main model is written as follows:<sup>71</sup>

$$\begin{aligned} \text{logit}\{\text{Pr}(y_{ij} > s | \mathbf{x}_{ij}, \zeta_{1j})\} = & \beta_2(\text{Government representation}_{2j}) + \beta_3(\text{Civil society representation}_{3j}) \\ & + \beta_4(\text{Democracy support}_{4ij}) + \beta_5(\text{Perceived U.N. problem-solving}_{5ij}) + \beta_6(\text{Net} \\ & \text{contributions}_{6j}) + \beta_7(\text{Government confidence}_{7ij}) + \beta_w \mathbf{X}_{ij} + \beta_z \mathbf{Z}_j + \zeta_{1j} + \varepsilon_{ij}, \end{aligned}$$

where  $\text{Pr}(y_{ij} > s | \mathbf{x}_{ij}, \zeta_{1j})$  is the cumulative probability that respondent  $i$  living in country  $j$  has a level of confidence in the U.N. that is higher than the threshold  $s$ ;  $w$  are vectors for the individual-level controls;  $z$  are vectors of country-level controls;  $\zeta_{1j}$  is the intercept of the

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<sup>70</sup> Harvey Goldstein, *Multilevel Models in Educational and Social Research* (London: Charles Griffin, 1987).

<sup>71</sup> The model is estimated using *gllamm* in Stata. Using this model requires testing whether the covariate effects are constant across categories. A test of this ‘parallel regression’ assumption suggests that this assumption is reasonable given the data at hand (Sophia Rabe-Hesketh and Anders Skrondal, *Multilevel and Longitudinal Modeling Using Stata* (Texas: Stata Press, 2008).

cumulative logits that varies over country  $j$ ; and  $\varepsilon_{ij}$  is the error term that is separate for country-level  $j$ . The error term is normalized to  $\pi^2/3$ .<sup>72</sup>

## Results

We begin the empirical analysis by establishing the extent to which variation in *U.N. confidence* is clustered among countries. An analysis of variance (ANOVA) model of our sample decomposes the variance in the dependent variable between individual and country levels. This way we establish the intra-class correlation  $\rho$ , that is, how much of the total variation in U.N. confidence that can be explained by differences at the country level. Table 1 shows that the random-intercept variance is estimated as 0.265, implying that about 8 percent of the differences in the level of confidence in the U.N. can be explained by the fact that respondent live in different countries.<sup>73</sup>

**TABLE 1. Base model predicting U.N. confidence**

<i>Random part</i>		
Constant	-2.386**	(0.097)
Second threshold	-0.750**	(0.037)
Third threshold	1.253**	(0.060)
Country-year level variance	0.265	(0.025)
BIC		53571.0
Log likelihood		-26765.5

*Note:* \*\*  $p < .01$ . Robust standard errors in parentheses. Number of individuals: 21860. Number of country-years: 26.

Table 2 presents the results. Models 1 and 2 test each of the three sets of hypotheses by including the control variables in two steps. Taken together, the results grant extensive

<sup>72</sup> Cf. Rabe-Hesketh and Skrondal, *Multilevel and Longitudinal Modeling*.

<sup>73</sup> We calculate the intra-class correlation as follows:  $\rho = \text{Var}(\zeta_{1j}) / (\text{Var}(\zeta_{1j}) + \pi^2/3) = 0.265 / (0.265 + \pi^2/3) = 0.076$ .

support to the explanations of institutional performance and confidence extrapolation, and less support for interest representation.

Beginning with interest representation, *Government representation* and *U.N. confidence* are negatively related, contrary to the expectation in hypothesis 1. This result suggests that citizens do not respond to their government's membership status in the U.N. Security Council with more confidence in the U.N. Hypothesis 2 is corroborated by the results, as the coefficient of *Civil society representation* is positive and statistically significant at the 1 percent level. It seems that citizens respond to better representation in the U.N. through their CSOs with greater confidence in the organization. Contrary to the expectation in hypothesis 3, citizens appear to have greater confidence in the U.N., the more they value democracy as a system of governance. This might be explained by citizens associating the U.N. with the representation of all global peoples, or by citizens not perceiving technocratic governance as a threat to democracy, since majoritarian and non-majoritarian institutions typically co-exist within domestic democracies.

Turning to institutional performance, citizen perceptions of U.N. problem-solving and *U.N. confidence* are positively related, as expected in hypothesis 4. To illustrate the magnitude of this association, we calculate odds ratios on the basis of model 2. The results from model 2 reveal that a one-unit increase in *U.N. problem-solving* raises the odds of expressing a great deal of confidence in the U.N., compared to the combined lower categories, by a factor of 1.069, or 7 percent, holding all other covariates fixed. With regard to hypothesis 5, *Net contributions* and *U.N. confidence* are negatively related in model 1, as expected. However, the coefficient turns insignificant in model 2. We suspect that this finding in model 2 is a statistical artifact, resulting from the high correlation between *Net contributions* and *Corruption perception* ( $r = 0.854$ ). When replicating model 2 by excluding the other two country controls and keeping *Corruption perception*, the coefficient of *Net*

*contributions* remains insignificant. By contrast, when estimating model 2 by excluding only *Corruption perception* but keeping the other two country controls, the coefficient of *Net contributions* is significant at the 1 percent level and negative. Likewise, *Net contributions* is negatively significant across a range of other model specifications (see the discussion below on robustness checks). We therefore choose to interpret this result as support for hypothesis 5. In sum, these results underline the importance of the institutional performance of the U.N. for the social legitimacy of the organization.

Finally, we find support for the logic of extrapolation. In line with hypothesis 6, confidence in the national government is positively related to confidence in the U.N. For a one-unit increase on the index of *Government confidence* in model 2, the odds of more confidence in the U.N. increase by as much as 71 percent. This result corroborates the logic of extrapolation, suggesting that many citizens' confidence in IOs is systematically associated with their confidence in national political institutions.

With regard to the control variables at the individual level, there is mixed support for *Geographical identity* as a factor influencing *U.N. confidence*. *Geographical identity* is significant in model 1, but turns insignificant when we add country-level controls in model 2. This result suggests that there is no clear-cut, positive relationship between a person's sense of global belonging and her/his confidence in the U.N., as suggested in previous research.<sup>74</sup> As for the other individual-level controls, there is no robust evidence that they are systematically related to *U.N. confidence*.

Among the country-level controls, the coefficient for *Conflict location* is insignificant, indicating that armed conflicts do not matter for citizens' confidence in the U.N. We note that the U.N. only had peace-keeping operations in two of the countries listed as conflict zones (Guatemala and Uganda), which may explain why the presence of conflicts does not shape

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<sup>74</sup> Norris, 'Global Governance and Cosmopolitan Citizens'; Peter A. Furia, 'Global Citizenship, Anyone? Cosmopolitanism, Privilege and Public Opinion', *Global Society* 19:4 (2005), pp. 331–59.

perceptions of the organization.<sup>75</sup> *Corruption perception* is negatively significant, indicating that people who experience corrupt institutions in the domestic context are skeptical of the U.N. as well. Last, *Colonial heritage* is negatively related to *U.N. confidence*. This may be because citizens in countries with a colonial legacy perceive the U.N. an organization dominated by old colonial powers (France, U.K.) and new powers with worldwide political interests (U.S., China).

### **Robustness Checks**

To test whether our findings hold across different model specifications, we conducted a series of robustness checks. First, we sought to address several concerns regarding the measurement of *CSO representation*. We replicated the models in Table 2 by including a logged per capita measure of the number of CSOs represented in the U.N. We also ran all models using a non-logarithmized measure of *CSO representation*. In both replication models, the alternative measures of *CSO representation* are positively associated with confidence in the U.N., corroborating the results presented in Table 2. Furthermore, we were concerned that the results for the variable *CSO representation* may be driven by two outlier countries that host by far the greatest number of CSOs, India and the U.S. Consequently, we replicated all models excluding the observations for India and the U.S. However, the magnitude and significance levels of the coefficient of *CSO representation* and the other coefficients in the replication model remain largely unchanged.

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<sup>75</sup> See Table A1 in Appendix A.

**TABLE 2. Multivariate models predicting U.N. confidence**

	(1)	(2)
<i>Fixed part</i>		
<b>Interest representation</b>		
Government representation	-0.366** (0.060)	-0.427** (0.060)
Civil society representation	0.239** (0.032)	0.107** (0.030)
Democracy support	0.050** (0.015)	0.055** (0.016)
<b>Institutional performance</b>		
Perceived U.N. problem-solving	0.068** (0.012)	0.067** (0.011)
Net contributions	-0.113** (0.013)	-0.013 (0.024)
<b>Confidence extrapolation</b>		
Government confidence	0.538** (0.036)	0.537** (0.035)
<b>Individual-level controls</b>		
Geographical belonging	0.084** (0.027)	0.062 (0.033)
Income	0.001 (0.012)	0.006 (0.012)
Education	0.055* (0.026)	0.051 (0.026)
Age	-0.003* (0.002)	-0.002 (0.002)
Cognitive mobilization	0.023 (0.032)	0.021 (0.031)
Gender	0.029 (0.054)	0.022 (0.053)
Social trust	-0.027 (0.035)	-0.025 (0.040)
<b>Country-level controls</b>		
Conflict location		0.013 (0.033)
Corruption perception		-0.053** (0.019)
Colonial heritage		-0.222** (0.050)
<i>Random part</i>		
Constant	-0.332 (0.180)	-0.534** (0.173)
Second threshold	1.461** (0.174)	1.258** (0.155)
Third threshold	3.690** (0.199)	3.490** (0.185)
<i>BIC</i>	50335.0	50358.2
Log likelihood	-25082.6	-25079.2

Notes: \* p<.05, \*\* p<.01. Figures are unstandardized coefficients. Robust standard errors in parentheses. Number of individuals: 21860. Number of country-years: 26.

Second, we assessed whether the direct effects we found differed among the cognitively mobilized. Previous literature on public attitudes toward the E.U. has found that cognitively mobilized citizens differ in the way they acquire information from their environment about the organization, which in turn shapes their opinion toward the E.U. The cognitively mobilized talk more frequently about politics and are more likely to work and live in environments that facilitate the acquisition and maintenance of political knowledge.<sup>76</sup> In light of this, some of the direct effects we find may in fact be conditioned by the variable *Cognitive mobilization*. In particular, context information about civil society or government representation may affect U.N. confidence only or especially among the cognitively mobilized. To test the robustness of the direct effects, we replicated model 2 by adding product terms for the variables that operationalize the hypotheses and *Cognitive mobilization*. We added these product terms separately in the regression models and plotted them to see whether the effects are statistically significant at all levels of *Cognitive mobilization* and whether the magnitude of the effects changes.<sup>77</sup> However, none of the direct effects we present in Table 2 is mediated by *Cognitive mobilization*.

Third, and related, we assessed whether the effects of some variables differed among those citizens that have a sense of global belonging. Previous research has theorized a connection between cosmopolitan identities and attitudes toward international organizations.<sup>78</sup> In the context of our study, citizens identifying with a global community may be more likely to be exposed to information about the U.N. and more positively predisposed toward that

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<sup>76</sup> See, for example, Matthew Gabel and Kenneth Scheve, 'Estimating the Effect of Elite Communications on Public Opinion Using Instrumental Variables', *American Journal of Political Science*, 51:4 (2007), pp. 1013–28.

<sup>77</sup> Cf. Chunrong Ai and Edward C. Norton, 'Interaction Terms in Logit and Probit Models', *Economic Letters*, 80:1 (2003), pp. 123–29; William D. Berry, Jacqueline H. R. DeMeritt and Justin Esarey, 'Testing for Interaction in Binary Logit and Probit Models: Is a Product Term Essential?', *American Journal of Political Science*, 54:1 (2010): 248–66. The response probabilities for the interaction plots are calculated following Jeffrey M. Wooldridge, *Econometric Analysis of Cross Section and Panel Data* (Cambridge, MA: MIT Press, 2011), p. 505, equation 15.88, and implemented in Stata by revising the code suggested by Thomas Brambor, William R. Clark and Matt Golder, 'Understanding Interaction Models: Improving Empirical Analyses', *Political Analysis*, 14:1 (2006), pp. 63–82.

<sup>78</sup> For example, Norris, 'Global Governance and Cosmopolitan Citizens'; Hooghe and Marks, 'Calculation, Community and Cues'.

information. In other words, some of the direct effects we find may be affected by how citizens score on the variable *Geographical identity*. However, we do not find evidence of interaction effects between the explanatory variables and *Geographical identity*. These results strengthen our confidence in the findings about the direct effects presented in Table 2.

Fourth, the fact that some citizens did not give a substantive answer when asked about their confidence in the U.N. raises the issue of non-attitudes. If these missing responses are unevenly distributed, we might face a problem of sample selection that could cause biased estimates. To check whether our regression results are biased for this reason, we replicated all models using two equations: one equation considering effects on the outcome variable *U.N. confidence*, and another equation considering a portion of the sample whose outcome is observed and mechanisms determining the selection process.<sup>79</sup> The magnitude and significance levels of the coefficients yielded by these models are similar to the estimates presented in Table 2. The only difference is that the coefficient of *Geographical identity*, which is significant and positive in model 1 but not in model 2, turns insignificant in the selection models, corroborating our conclusion that a citizen's sense of global belonging may not matter for that citizen's confidence in the U.N.

Finally, we were concerned that some of the country-level variables may have biased the estimates presented in Table 2 because they are highly correlated with each other. Specifically, we were concerned about two variables. First, *Colonial heritage*, which is moderately highly correlated with *Corruption perception* ( $r = -0.578$ ) and *Net contributions* ( $r = -0.561$ ), and second, *Corruption perception*, which is highly correlated with *Net contributions* ( $r = 0.854$ ). We ran all models presented in Table 2 by including all country variables separately. In addition, we estimated all models without *Conflict location* to check

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<sup>79</sup> James J. Heckman, 'Sample Selection Bias as a Specification Error', *Econometrica* 47:1 (1979), pp. 153–61.

whether its skewed distribution biases our estimates. These robustness checks do not change the interpretation of the findings from Table 2 reported above.

## **Conclusion**

Although social legitimacy is central to IOs, systematic assessments of the sources of public support in global governance have been in short supply. This article is an attempt to remedy this situation. Its central finding is clear empirical support for institutional performance and trust extrapolation as explanations of the U.N.'s perceived legitimacy. When forming opinions about the legitimacy of the U.N., citizens are influenced by the real or anticipated policy output of the organization. In addition, they draw on experiences from national political institutions and extend these attitudes toward the UN. By contrast, citizen perceptions of U.N. legitimacy do not appear to be influenced by the representation of their interests in the organization.

These findings highlight a gap between what much of existing scholarship presumes that citizens care about and what actually determines their evaluations of an IO such as the U.N. Following public protests against several multilateral economic organizations and growing dissatisfaction with elite-driven cooperation, it has been conventionally assumed that citizens' perceptions of IO legitimacy primarily are rooted in evaluations of their potential for participation and representation. As Steven Bernstein concludes: "The dominant answer to what legitimacy requires in global governance is democracy."<sup>80</sup>

Yet our findings suggest that IOs' systems for channeling and representing citizen interests may not be as decisive for popular legitimacy as commonly thought. Instead, the U.N. case indicates that the social legitimacy of IOs may be based primarily on the organizations' capacity to solve societal problems and generate benefits. While popular

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<sup>80</sup> Bernstein, 'Legitimacy in Intergovernmental and Non-State Global Governance', p. 61.

awareness and contention of IOs is higher today than three or four decades ago,<sup>81</sup> securing better citizen representation is not necessarily the panacea to faltering social legitimacy. While IOs have become increasingly open to civil society actors over recent decades,<sup>82</sup> the consequence of this transformation for IO legitimacy may primarily be indirect, through its effects on IOs' problem-solving capacity.

In addition, our findings suggest that perceptions of IO legitimacy are partly unrelated to the institutions and actions of these organizations. While performing well helps, it is no guarantee for popular legitimacy, since citizens partly base their assessments of IOs on their experiences from domestic political institutions. For many citizens, IOs are complex and distant organizations, whose legitimacy is best approached via heuristics and cues derived from the more familiar national political context. These findings from the U.N. mirror those in recent research on the E.U., suggesting that trust extrapolation may have extensive scope as an explanation of the social legitimacy of IOs. This impression is reinforced by the fact that both IOs are among those most visible to citizens. If people use domestic heuristics when forming opinions about these relatively well-known IOs, they are even more likely to do so in relation to IOs that are less visible and known. This would mean that international policy-makers can do little to boost the popular legitimacy of IOs, since the determinants of confidence largely are out of their hands.

The contribution of this article should be judged against its limitations, of which three should be noted, since they suggest an agenda for future research. In all cases, the principal barrier to progress so far has been the availability of systematic and comparable data. First, our empirical exploration has been isolated to the U.N. Expanding the scope to other IOs would allow us to assess whether the sources of social legitimacy vary across IOs that perform different functions, are active in alternative world regions, and are vested with

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<sup>81</sup> Zürn, Binder and Ecker-Erhardt, 'International Political Authority'.

<sup>82</sup> Tallberg, Sommerer, Squatrito and Jönsson, *The Opening Up of International Organizations*.

varying levels of political authority. Second, the analyses have been limited to the time period 1999-2004 because this is the only time period for which data suitable to operationalize all hypotheses is available. This time period includes the global war on terror during which the U.N. may have been exceptionally visible owing to its involvement in debates about the U.S.'s interventions in Iraq and Afghanistan. People may have been paying attention to the U.N. more than usual in this time period, and this implies that they may have based their evaluations of the U.N. to an unusual degree on output considerations. Future research is needed to explore whether our findings hold for a more extended time period. Third, our inquiry has focused on the citizens of member states as the audience whose acceptance and support IOs must win to be legitimate. While this may be the most intuitive choice, it is not the only way to conceptualize an IO's audience.<sup>83</sup> If we distinguish between elites and citizens, we may explore whether legitimacy conceptions vary systematically across the state-society divide. Moving toward a comparative, empirical study of the social legitimacy of IOs presents a promising agenda for future research and a central task for IR scholars concerned with the conditions for effective and legitimate global governance.

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<sup>83</sup> Cf. Hurd, *After Anarchy*.

## Appendix A

**Table A1.** *Countries included in the dataset and country-level variables*

<i>Country</i>	<i>Year</i>	<i>Government representation</i>	<i>Civil society representation</i>	<i>Regular contrib. (net, p. cap.)</i>	<i>Conflict location</i>	<i>Corruption Perception</i>	<i>Colonial heritage</i>	<i>Obs.</i>
Albania	2002	0	4	10.7	0	2.5	0	673
Argentina	1999	1	36	290.9	0	3	1	731
Bangladesh	2002	0	58	0.9	0	1.2	1	1096
Canada	2000	1	49	922.6	0	9.2	0	1402
Chile	2000	0	9	94.1	0	7.4	1	757
China	2001	2	13	12.5	0	3.5	0	292
Guatemala	2004	0	6	37.8	0	2.2	1	821
India	2001	0	222	3.5	3	2.7	1	652
Indonesia	2001	0	10	9.8	1	1.9	1	637
Japan	2000	0	23	1708.0	0	6.4	0	662
Jordan	2001	0	10	12.4	0	4.9	1	737
Korea, South	2001	0	23	381.5	0	4.2	0	831
Kyrgyzstan	2003	0	6	2.9	0	2.1	0	906
Mexico	2000	0	26	105.8	0	3.3	1	855
Moldova	2002	0	4	5.01	0	2.1	0	514
New Zealand	2004	0	6	798.6	0	9.6	0	462
Nigeria	2000	0	31	3.0	0	1.2	1	1643
Philippines	2001	0	27	13.8	2	2.9	1	1081
South Africa	2001	0	17	105.0	0	4.8	1	1827
Spain	2000	0	16	687.7	0	7	0	767
Sweden	1999	0	11	1267.0	0	9.4	0	790
Tanzania	2001	0	8	1.2	0	2.2	1	843
Uganda	2001	0	16	2.4	2	1.9	1	747
United States	1999	2	292	1102.0	0	7.5	0	971
Vietnam	2001	0	0	1.9	0	2.6	1	655
Zimbabwe	2001	0	5	7.1	0	2.9	1	508

**Table A2. Summary statistics**

<i>Variables</i>	<i>Min.</i>	<i>Mean</i>	<i>Max.</i>	<i>Std. Dev.</i>
U.N. confidence	0	1.776	3	0.917
Government representation	0	0.213	2	0.532
Civil society representation (log)	0	2.892	5.677	1.153
Democracy support	-6	2.566	6	2.281
U.N. problem-solving	0	4.897	10	2.168
Net contributions (log)	-0.151	3.569	7.443	2.454
Government confidence	0	3.051	6	1.681
Geographical identity	0	0.439	2	0.713
Income	1	3.080	5	1.380
Education	0	2.325	4	1.278
Age	15	38.60	98	15.09
Cognitive mobilization	0	0.889	2	0.659
Gender	0	0.462	1	0.499
Social trust	0	0.275	1	0.447
Conflict location	0	0.286	3	0.742
Corruption perception	1.200	4.184	9.600	2.646
Colonial heritage	0	0.622	1	0.485

*Notes:* N=21860.

**Table A3. Correlations between independent variables**

<i>Variables</i>	<i>1</i>	<i>2</i>	<i>3</i>	<i>4</i>	<i>5</i>	<i>6</i>	<i>7</i>	<i>8</i>	<i>9</i>	<i>10</i>	<i>11</i>	<i>12</i>	<i>13</i>	<i>14</i>	<i>15</i>	<i>16</i>
1 Government repr.	1.000															
2 Civil society repr.	0.531	1.000														
3 Democracy support	0.084	0.179	1.000													
4 U.N. problem-solv.	-0.011	-0.018	0.121	1.000												
5 Net contributions	0.426	0.269	0.167	0.008	1.000											
6 Government contr.	-0.061	-0.006	0.011	-0.024	-0.297	1.000										
7 Geographical iden.	0.025	-0.027	-0.014	0.012	-0.025	-0.067	1.000									
8 Income	0.015	0.020	0.076	0.045	-0.003	-0.046	0.039	1.000								
9 Education	0.069	0.107	0.129	0.046	0.145	-0.092	0.041	0.275	1.000							
10 Age	0.107	0.004	0.068	-0.011	0.226	-0.014	-0.094	-0.053	-0.137	1.000						
11 Cognitive mobil.	0.005	-0.003	0.090	0.029	-0.078	0.076	0.007	0.078	0.154	0.049	1.000					
12 Gender	0.038	-0.005	-0.048	-0.041	0.049	-0.026	-0.007	-0.016	-0.033	-0.033	-0.164	1.000				
13 Social trust	0.093	0.038	0.063	0.026	0.172	0.056	-0.019	0.040	0.095	0.091	0.067	-0.009	1.000			
14 Conflict location	-0.154	0.280	-0.111	-0.087	-0.287	0.112	-0.081	0.015	0.048	-0.038	0.049	-0.045	-0.044	1.000		
15 Corruption perc.	0.397	0.186	0.209	0.030	0.854	-0.128	0.007	-0.003	0.124	0.245	-0.049	0.0481	0.208	-0.246	1.000	
16 Colonial heritage	-0.384	-0.049	-0.169	-0.078	-0.561	0.145	0.072	0.008	-0.202	-0.215	-0.050	-0.0491	-0.184	0.301	-0.578	1.000

Notes: N=21860.

## **Appendix B: World Values Survey Questions and Assigned Codes**

*U.N. CONFIDENCE:* “I am going to name a number of organizations. For each one, could you tell me how much confidence you have in them: is it a great deal of confidence (3), quite a lot of confidence (2), not very much confidence (1) or none at all (0)? *United Nations*”

*DEMOCRACY SUPPORT:* “I’m going to read off some things that people sometimes say about a democratic political system. Could you tell me if you agree strongly (3), agree (2), disagree (1) or disagree (0) strongly, after I read each one of them? *Democracy may have problems but it's better than any other form of government*”

“I’m going to describe various types of political systems and ask what you think about each as a way of governing this country. For each one, would you say it is a very good (3), fairly good (2), fairly bad (1) or very bad (0) way of governing this country? *Having a democratic political system; Having a strong leader who does not have to bother with parliament and election; Having the army rule*”

*U.N. PROBLEM-SOLVING:* “Some people believe that certain kinds of problems could be better handled by the United Nations than by the various national governments. Others think that these problems should be left entirely to the respective national governments; while others think they would be handled best by the national governments working together with co-ordination by the United Nations. I’m going to mention some problems. For each one, would you tell me whether you think that policies in this area should be decided by the national governments (0), by the United Nations (2), or by the national governments with U.N. co-ordination (1)? *Human rights; International peacekeeping; Protection of the environment; Aid to developing countries; Refugees*”

*GOVERNMENT CONFIDENCE:* “I am going to name a number of organizations. For each one, could you tell me how much confidence you have in them: is it a great deal of confidence (3), quite a lot of confidence (2), not very much confidence (1) or none at all (0)? *Parliament; The civil services*”

*GEOGRAPHICAL IDENTITY:* “To which of these geographical groups would you say you belong first of all? Locality (1), region (2), country (3), continent (4), world (5)?”

*COGNITIVE MOBILIZATION:* “When you get together with your friends, would you say you discuss political matters frequently, occasionally or never? Frequently (2), occasionally (1) or never (0)?”

*SOCIAL TRUST:* “Generally speaking, would you say that most people can be trusted or that you need to be very careful in dealing with people? Most people can be trusted (1), can’t be too careful (0)